



**Hong Kong Exchanges and Clearing Limited**  
**香港交易及結算所有限公司**

(Incorporated in Hong Kong with limited liability)

(於香港註冊成立的有限公司)

(Stock Code 股份代號: 388)

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**NOTIFICATION LETTER 通知信函**

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21 November 2013

Dear Non-registered Shareholder <sup>1</sup>,

**Notice of Publication of Quarterly Report for the nine months ended 30 September 2013 (“Current Corporate Communication”)**

The English and Chinese versions of the Current Corporate Communication of Hong Kong Exchanges and Clearing Limited (“HKEx”) are available under the About HKEx – Financial Statements section of the HKEx website ([www.hkex.com.hk](http://www.hkex.com.hk)) and the HKExnews website ([www.hkexnews.hk](http://www.hkexnews.hk)). If you have elected to receive the Corporate Communications <sup>2</sup> in printed form, the printed version of your selected language(s) is/are enclosed.

If you wish to (i) receive the Current Corporate Communication in printed form or in the language version other than your existing choice; and/or (ii) change your choice of language or means of receiving all future Corporate Communications, please complete, sign and return the request form overleaf to the HKEx’s registrar, Hong Kong Registrars Limited by post (using the mailing label at the bottom of the request form) or by email at [hkex.ecom@computershare.com.hk](mailto:hkex.ecom@computershare.com.hk).

Should you have any queries in relation to this letter, please call our registrar’s enquiry hotline on (852) 2862 8688 during business hours (9:00 am to 6:00 pm, Monday to Friday, excluding Hong Kong public holidays).

**Hong Kong Exchanges and Clearing Limited**

Notes:

1. This letter is addressed to HKEx’s non-registered shareholders. Non-registered shareholder means such person or company whose shares are held in the Central Clearing and Settlement System (CCASS) and who has notified HKEx from time to time through Hong Kong Securities Clearing Company Limited that such person or company wishes to receive Corporate Communications. If you have sold or transferred your shares in HKEx, please disregard this letter and the request form overleaf.
2. Corporate Communication refers to any document issued or to be issued by HKEx for the information or action of holders of any of its securities, including, but not limited to, the directors’ report and annual accounts together with a copy of the auditor’s report, the interim report, a notice of meeting, a listing document, a circular and a proxy form.

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各位非登記股東 <sup>1</sup>：

**截至 2013 年 9 月 30 日止九個月季度業績報告(「本次公司通訊」)的刊發通知**

香港交易及結算所有限公司(「香港交易所」)的本次公司通訊的中、英文版本已載於香港交易所網站([www.hkex.com.hk](http://www.hkex.com.hk))「香港交易所資料 – 財務報表」一欄及「披露易」網站([www.hkexnews.hk](http://www.hkexnews.hk))，歡迎閱覽。如閣下已選擇收取公司通訊<sup>2</sup>印刷本，隨本函附上閣下所選擇之語言版本的印刷本。

如閣下欲(i)索取本次公司通訊的印刷本或有別於閣下已選擇的另一個語言版本；及/或(ii)更改有關日後所有公司通訊的語言版本或收取方式的選擇，請填妥本函背面的要求表格，並使用表格下方之郵寄標籤寄回香港交易所的股份過戶登記處香港證券登記有限公司或電郵至 [hkex.ecom@computershare.com.hk](mailto:hkex.ecom@computershare.com.hk)。

如閣下對本函有任何疑問，請於辦公時間內(星期一至五上午 9 時至下午 6 時，香港公眾假期除外)致電我們的股份過戶登記處的查詢熱線，電話為(852) 2862 8688。

香港交易及結算所有限公司  
謹啟

2013 年 11 月 21 日

註：

1. 此函件乃向香港交易所之非登記股東發出。非登記股東指股份存放於中央結算及交收系統的人士或公司，透過香港中央結算有限公司不時向香港交易所發出通知，希望收到公司通訊。如閣下已經出售或轉讓所持有之香港交易所股份，則毋須理會本函件及背面的要求表格。
2. 「公司通訊」指香港交易所已發出或將予發出以供其任何證券的持有人參照或採取行動的任何文件，其中包括但不限於董事會報告及年度賬目連同核數師報告、中期報告、會議通告、上市文件、通函及代表委任表格。

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HKXH-21112013-1(21)

## Request Form 要求表格

**To:** Hong Kong Exchanges and Clearing Limited ("HKEx")  
c/o Hong Kong Registrars Limited  
17M Floor, Hopewell Centre, 183 Queen's Road East,  
Wanchai, Hong Kong

**致:** 香港交易及結算所有限公司 (「香港交易所」)  
經香港證券登記有限公司  
香港灣仔皇后大道東 183 號  
合和中心 17M 樓

**You do NOT need to complete this request form if you have received the document(s) in the language or in the manner you want.**  
倘閣下收到的文件之語言版本，或送遞方式符合閣下的意願，則毋須填寫本要求表格。

**Part A — To request a printed copy of the HKEx's Quarterly Report for the nine months ended 30 September 2013**

**甲部** 索取香港交易所截至 2013 年 9 月 30 日止九個月季度業績報告之印刷本  
(Please "X" appropriate box(es) 請於適當的空格加上「X」號)

- ☐ in English version 英文版  
☐ in Chinese version 中文版

**Part B — To change the choice of language or means of receiving Corporate Communications\***

**乙部** 更改選取的公司通訊\*之語言版本或收取方式

(Please "X" ONLY ONE box 請以「X」號選擇一項)

I/We would like to receive future HKEx's Corporate Communications:

本人/吾等欲以下列方式收取香港交易所日後刊發的公司通訊:

- ☐ by electronic means through the HKEx website ([www.hkex.com.hk](http://www.hkex.com.hk)) (instead of in printed copies) with a notification letter mailed to my/our address when printed copies of the relevant Corporate Communications are mailed; OR  
透過香港交易所網站 ([www.hkex.com.hk](http://www.hkex.com.hk)) 以電子方式收取公司通訊代替印刷本，並在有關公司通訊印刷本寄出時郵寄通知信函至本人/吾等的地址；或
- ☐ in printed form in English ONLY 僅收取英文印刷本; OR 或  
☐ in printed form in Chinese ONLY 僅收取中文印刷本; OR 或  
☐ in printed form in BOTH English and Chinese 同時收取中、英文印刷本。

Full name(s) of shareholder(s) #: (English) (Chinese)  
股東姓名 (英文) (中文)

(Please use BLOCK LETTERS 請用正楷書寫)

Address #:

地址

(Please use BLOCK LETTERS 請用正楷書寫)

Signature(s):

簽署

Date:

日期

\* Corporate Communication refers to any document issued or to be issued by HKEx for the information or action of holders of any of its securities, including, but not limited to, the directors' report and annual accounts together with a copy of the auditor's report, the interim report, a notice of meeting, a listing document, a circular and a proxy form.  
「公司通訊」指香港交易所已發出或將予發出以供其任何證券的持有人參照或採取行動的任何文件，其中包括但不限於董事會報告及年度賬目連同核數師報告、中期報告、會議通告、上市文件、通函及代表委任表格。

# You are requested to fill in the details if you download this request form from the HKEx website.  
如從香港交易所網站下載本要求表格，必須填寫有關資料。

Notes 註:

- Please complete this request form clearly. Any request form with no indicated choice, with no signature or otherwise incorrectly completed will be void.  
請清楚填寫本要求表格。要求表格上若未有作出選擇或未有簽署又或在其他方面填寫不正確，即告作廢。
- The above instruction in Part B will apply to all future Corporate Communications to be sent to you until you notify HKEx otherwise by giving not less than 7 days' notice in writing to HKEx's registrar, Hong Kong Registrars Limited (by post to 17M Floor, Hopewell Centre, 183 Queen's Road East, Wanchai, Hong Kong or by email at [hkex.ecom@computershare.com.hk](mailto:hkex.ecom@computershare.com.hk)).  
上述乙部之指示適用於日後所有寄發予閣下之公司通訊，直至閣下向香港交易所的股份過戶登記處香港證券登記有限公司發出不少於 7 天的通知 (郵寄至香港灣仔皇后大道東 183 號合和中心 17M 樓或電郵至 [hkex.ecom@computershare.com.hk](mailto:hkex.ecom@computershare.com.hk)) 為止。
- For the avoidance of doubt, we do not accept any special instructions written on this request form.  
為免存疑，特此說明：本要求表格上任何其他額外手寫指示概不受理。

### PERSONAL INFORMATION COLLECTION STATEMENT 收集個人資料聲明

- "Personal Data" in these statements has the same meaning as "personal data" in the Personal Data (Privacy) Ordinance, Chapter 486 of the Laws of Hong Kong ("PDPO").  
本聲明中所指的「個人資料」具有香港法例第 486 章《個人資料 (私隱) 條例》(《私隱條例》) 中「個人資料」的涵義。
- Your supply of Personal Data to HKEx is on a voluntary basis. Failure to provide sufficient information, HKEx may not be able to process your instructions and/or requests as stated in this form.  
閣下是自願向香港交易所提供個人資料。若閣下未能提供足夠資料，香港交易所可能無法處理閣下在本表格上所述的指示及/或要求。
- Your Personal Data may be disclosed or transferred by HKEx to its subsidiaries, its share registrar, and/or other companies or bodies for any of the stated purposes, and retained for such period as may be necessary for our verification and record purposes.  
香港交易所可就任何所說明的用途，將閣下的個人資料披露或轉移給香港交易所的附屬公司、股份過戶登記處、及/或其他公司或團體，並將在適當期間保留該等個人資料作核實及紀錄用途。
- You have the right to request access to and/or correction of your Personal Data in accordance with the provisions of the PDPO. Any such request for access to and/or correction of your Personal Data should be in writing to the Personal Data Privacy Officer of Hong Kong Registrars Limited at 17M Floor, Hopewell Centre, 183 Queen's Road East, Wanchai, Hong Kong.  
閣下有權根據《私隱條例》的條文查閱及/或修改閣下的個人資料。任何該等查閱及/或修改個人資料的要求均須以書面方式向香港證券登記有限公司 (地址為香港灣仔皇后大道東 183 號合和中心 17M 樓) 的個人資料私隱主任提出。
- Please refer to the HKEx's Privacy Policy Statement which is available on the HKEx website for further details.  
詳情請參閱載於香港交易所網站的香港交易所私隱政策聲明。

寄回本要求表格時，請將郵寄標籤剪貼於信封上。

如在本港投寄，毋須貼上郵票。

Please cut the mailing label and stick it on  
an envelope to return this Request Form to us.

No postage stamp necessary if posted in Hong Kong.

### 郵寄標籤 MAILING LABEL

香港證券登記有限公司  
Hong Kong Registrars Limited  
簡便回郵號碼 Freepost No. 37  
香港 Hong Kong

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